

SIPP TRANSFER IN FROM MONEY PURCHASE/DEFINED CONTRIBUTION SCHEME

Please complete this form to request a transfer into your SIPP with ourselves.

Your full name	
Your SIPP Number	
Name of the other pension plan	
Type of plan (stakeholder/PP etc)	
Address of administrator	Postcode
Contact name/number	
Policy/account number (if known)	
HMRC reference number (if known)	
Expected transfer value of the plan	£

Is this the full value of the plan?	<input type="checkbox"/> YES	<input type="checkbox"/> NO	
Are any benefits already in drawdown under the plan?	<input type="checkbox"/> FULL	<input type="checkbox"/> PARTIAL	<input type="checkbox"/> NO

If yes, please provide your P45 once supplied by your existing scheme. If you wish to receive income payments from the plan once transferred, please complete the 'Income Request form' which is available at www.curtisbanks.co.uk and return to our offices.

Is the plan a defined benefits scheme or a Scheme with safeguarded benefits, other guarantees or promises?	<input type="checkbox"/> YES	<input type="checkbox"/> NO	
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If yes, please do not complete this form, but instead complete a "Transfer of Benefits – Safeguarded Benefits" form and return with the application.

Is this an in-specie transfer? (i.e. does the transfer consist of assets other than cash?)	<input type="checkbox"/> YES	<input type="checkbox"/> NO	
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<p>If yes, please list the types of assets and their values</p> <p>If transferring an investment portfolio please also provide an asset list from the investment manager.</p> <p>For all other assets - please provide a breakdown of the funds from the provider.</p>	
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Without the above items, the in-specie transfer cannot proceed.

Is the transfer a credit as a result of a Pension Sharing Order?	<input type="checkbox"/> YES	<input type="checkbox"/> NO	
Have you received advice from a regulated financial adviser in respect of the transfer?	<input type="checkbox"/> YES	<input type="checkbox"/> NO	

Is the transfer part of a block transfer?

YES

NO

If yes, please provide details of any protected tax free cash entitlement, minimum pension age etc which is being preserved by the block transfer

Declaration

- Where funds from other pension arrangements are to be transferred to the SIPP, I consent to the payment of the transfers.
- Declarations to the current provider of the transferring scheme(s)
 - I authorise and instruct you to transfer sums and assets from the plan(s) as listed above directly to Curtis Banks and to provide any instructions and/or discharge required by any relevant third party to do so.
 - Where you have asked me to give you any original policy document(s) in return for the transfer of sums and assets and I am unable to do so, I promise that I will be responsible for any losses and/or expenses which are the result, and which a reasonable person would consider to be the probable result, of any untrue, misleading or inaccurate information deliberately or carelessly given by me, or on my behalf, either in this form or with respect to benefits from the plan.
 - I authorise Curtis Banks, the current provider and any financial intermediary with authority over my plan to obtain from each other, and release to each other, any additional information that may be required to enable the transfer of sums and assets to Curtis Banks.
 - I authorise Curtis Banks, the current provider and any employer paying contributions to any of the plan(s) as listed above to obtain from each other, and release to each other, any information that may be required to enable the transfer of sums and assets to Curtis Banks.
 - Until this application is accepted and complete, Curtis Banks' responsibility is limited to the return of the total payment(s) to the current provider.
 - Where the payment(s) made to Curtis Banks represent(s) all of the sums and assets under the plan(s) listed above, then payment made as instructed will mean that I shall no longer be entitled to receive pension or other benefits from the plan(s) listed.
 - Where the payment(s) made to Curtis Banks represent(s) part of the sums and assets under the plan(s) listed above, then payment made as requested will mean that I shall no longer be entitled to receive pension or other benefits from that part of the plan(s) represented by the payment(s).
- Declaration to Curtis Banks and the current provider of the transferring scheme(s)
 - I promise to accept responsibility in respect of any claims, losses and expenses that Curtis Banks and the current provider may incur as a result of any incorrect information provided by me in this application or any failure on my part to comply with any aspect of this application.
- If I am transferring a capped drawdown arrangement(s) to a flexi-access drawdown arrangement(s), I will be subject to the Money Purchase Annual Allowance (MPAA) from the date of my first flexi-access payment.
- If I am already subject to the Money Purchase Annual Allowance (MPAA), I hereby confirm that the date the MPAA first applied to me is as follows: / /
- The transfer will be used to provide benefits under my SIPP administered by Curtis Banks, in accordance with the Rules of the SIPP.
- I am not bankrupt and there are no court orders affecting my pension arrangements.

Signature

Date

When completed, this form should be returned to:

Bristol Office
 Curtis Banks Ltd
 3 Temple Quay
 Bristol
 BS1 6DZ

Dundee Office
 Curtis Banks Ltd
 Floor 10 City House
 Overgate Centre
 Dundee
 DD1 1UQ

Market Harborough office
 Curtis Banks Ltd
 Pointon York House
 Welland Business Park
 Valley Way
 Market Harborough
 LE16 7PS