

Adviser Charging Form

Please use this form for adviser charges under an existing SIPP, which are not covered by existing adviser remuneration arrangements.

Member Name Member No

Financial Adviser Firm Name

1. Please tell us if the adviser charges below:

- Are in addition to existing adviser remuneration arrangements (e.g. one-off advice not covered by existing arrangements)

OR

- Replace all existing remuneration arrangements

2. Please confirm details of the adviser charges payable in respect of advice in relation to the SIPP (any boxes left blank will be assumed to be Zero)

Initial payment £ or % of initial contribution / transfer value

Ongoing payments £ or % of fund value

Ongoing payments - frequency **Quarterly** **6 monthly** **Annually** (Fees to be paid upon production of an invoice from the Adviser)

Future transfer-in payments £ or % of each transfer-in

Future contribution payments £ or % p.a. of regular contributions / ad hoc contributions / all contributions

Are payments subject to VAT? **Initial** YES NO **Ongoing** YES NO (If left blank we will assume No)

Source of payments (if other than SIPP bank account) (Payment from the SIPP bank account will be the default option)

Name of introducer's bank

Sort code **Account number**

Account name **Reference for payments**

TO BE SIGNED BY THE ADVISER:

I confirm that the above adviser charges are in accordance with an adviser charging structure which has been disclosed and agreed, and meets regulatory requirements.

Date

TO BE SIGNED BY THE MEMBER:

I am satisfied that the amounts of adviser charges above have been fully and correctly completed and I authorise payment of these charges from the SIPP.

Date

Notes:

1. Charges may also be payable to your adviser in respect of other advice related to the SIPP, for example advice on the investments made by the SIPP. Any such additional charges should be separately disclosed to you and validated by you.
2. Payments can only be made to the adviser shown in our records as acting for the SIPP.