

## NON STANDARD INVESTMENT QUESTIONNAIRE

This questionnaire should be completed for any non standard investment you are planning with your pension scheme, so that we can assess the investment as being appropriate. NB this questionnaire does not apply to commercial property, unquoted equities or loans, please complete our separate questionnaires for those investments.

Please complete this questionnaire and sign the declaration and return it to Curtis Banks Ltd.

**PLEASE DO NOT TAKE ANY ACTION, SUCH AS PAYING FUNDS INTO YOUR PENSION SCHEME FOR THIS INVESTMENT, UNTIL WE HAVE CONFIRMED THAT IT IS ACCEPTABLE.**

If you have any queries on the completion of this questionnaire, please call Curtis Banks Ltd on (0117) 9107910 (Bristol office) or (01382) 200306 (Dundee office).

Name of scheme

Plan Number

### 1 Details of Investment

Name of investment

Amount of investment

Type of investment

Underlying assets within investment

Name of company marketing the investment

*Please supply copies of any application form and literature for the investment.*

Is the purpose of the investment to allow scheme members to use an asset unacceptable as a pension scheme investment?  YES  NO

How did you become aware of this investment?

Have you received any advice in making this investment?  YES  NO

*If yes, please supply a copy of the advice or state who has advised you.*

Are you buying the investment from someone connected to you?  YES  NO

*"Connected" means in relation to scheme members their relatives, spouses of relatives or anyone they are in partnership with and their spouses.*

*If the vendor is a connected party, an independent written valuation of the purchase price will be required.*

Is further investment by you planned or expected?  YES  NO

If yes, please supply details

## 2 Commercial Prudence

How did you determine your level of investment?

What is your investment term and exit strategy?

Please state why you feel that this will be a good investment for your pension scheme:

Do you have a good understanding of all the issues involved in this investment?

 YES NO

*If you have answered no, you are strongly recommended to seek independent financial advice.*

## 3 Declaration (to be signed by all members)

**Curtis Banks Ltd will rely on this declaration. You should read it carefully and if you do not understand any part of it, please ask us for further guidance.**

- I/we declare that to the best of my knowledge and belief all statements made in this questionnaire (whether in my handwriting or not) are accurate and complete
- I/we accept that this investment may involve a high level of risk, may be unregulated (and not covered by any compensation arrangements), may be illiquid and may be difficult to monitor
- I/we have had the opportunity to read and understand the Curtis Banks guidance note on [Investments](#) and believe that the above investment is an acceptable pension scheme investment and will continue to be in the future.
- I/we confirm that I am solely responsible for the outcome of this investment
- I/we understand that Curtis Banks Ltd and its trustee company have given no advice in relation to the suitability of this investment and I accept that their liability shall be limited to the assets of the pension scheme
- I/we confirm that I will monitor this investment to ensure that it will not lead to tax charges or penalties being imposed by HM Revenue & Customs and I accept liability for any such charges or penalties.
- I/we consent to the published charges of Curtis Banks Ltd for processing and administering this investment

**Signature(s)**

**Name(s)**

**Date**

**When completed, this questionnaire together with any supporting documents should be returned to:**

**Bristol Office**  
Curtis Banks Ltd  
3 Temple Quay  
Temple Back East  
Bristol  
BS1 6DZ

**Dundee Office**  
Curtis Banks Ltd  
Floor 10 City House  
Overgate Centre  
Dundee  
DD1 1UQ